

Docket Number: 040045-0357801

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

KLAUS PFIZENMAIER, et al.

Group Art Unit: Not yet assigned

Application No.: [Not yet assigned]

Examiner: Not yet assigned

Filed: September 25, 2006

Confirmation No.: Not yet assigned

For: RECOMBINANT POLYPEPTIDES OF THE MEMBERS OF THE TNF LIGAND  
FAMILY AND USE THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed within three months of the U.S. filing date of this non-CPA application, and before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.


The references cited in the International Search Report correspond to PCT/EP2005/003158 filed March 24, 2005 for which this application will be based upon. An English language version of the International Search Report is attached for the Examiner's information. For your convenience, copies of the references are attached.

IAP16 Rec'd PCT/PTO 25 SEP 2006

10/594189

Respectfully Submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP



John R. Wetherell

Registration Number 31678

Customer Number: 27500

Date: September 25, 2006  
Telephone: (619) 234-5000  
Facsimile: (858) 509-4010  
11682 El Camino Real  
Suite 200  
San Diego, CA 92130-2092

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10\***

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I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee", Mailing Label No. EV 632470656 US, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: September 25, 2006

  
Signature

PATRICIA MUNOZ

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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| FORM PTO-1449 (modified)<br>To: U.S. Department of Commerce<br>(PW FORM PAT-1449)<br>Patent and Trademark Office |          | Atty.<br>Dkt. No.  | M# | Client Ref. |
|  |          | 040045-0357801   |    |             |
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b>   |          | Applicant: Pfizenmaier, Klaus, et al.                          |    |             |
|  |          | Appln. No.: [Not yet assigned]                                 |    |             |
|  |          | Filing Date: September 25, 2006                                |    |             |
|  |          | Examiner: Not yet assigned    Group Art Unit: Not yet assigned |    |             |
| Date: September 25, 2006   | Pag<br>e | 1  | of | 1           |

| U.S. PATENT DOCUMENTS   |    |                    |                 |   |       |                                    |
|-------------------------|----|--------------------|-----------------|---|-------|------------------------------------|
| Examiner's<br>Initials* |    | Document<br>Number | Date<br>MM/YYYY | Name<br>(Family Name of First Inventor) | Class | Filing<br>Date<br>(if appropriate) |
|                         | AR |                    |                 |   |       |                                    |
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| FOREIGN PATENT DOCUMENTS |    |                    |                 |         |               |  | English<br>Abstract |    | Translation<br>Readily<br>Available |    |
|--------------------------|----|--------------------|-----------------|---------|---------------|--|---------------------|----|-------------------------------------|----|
|                          |    | Document<br>Number | Date<br>MM/YYYY | Country | Inventor Name |  | Enclosed            | No | Enclose                             | No |
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| OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.) |    |  |  |  |  |  |  |  |  |  |
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| Examiner | Date Considered: |
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.